

#### PATENT APPLICATION

IN AQUEOUS



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Ging Hauw KHOE, Myint ZAW, Patricia Salini

PRASAD and Maree Therese EMETT

Serial no. :

09/463,423

Filed For with an effective filing date July 22, 1998 PHOTO-ASSISTED OXIDATION C

**SPECIES** 

INORGANIC SOLUTIONS

Group Art Unit

OCT 3 1 2001 :

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1744

Examiner Docket

TC 1700

**GRIHAC P28AUS** 

The Commissioner of Patents and Trademarks Washington, D.C. 20231

## SECOND REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Further to our Request mailed on May 8, 2000, please be advised that the filing receipt is incorrect in that it indicates the filing date of this application as **March** 7, 2000, when in fact this was the date that the Notification of Missing Requirements Under 35 U.S.C. 371 was mailed by the United States Patent and Trademark Office. Please note that the date when all of the remaining filing documents were finally filed with the United States Patent and Trademark Office was **April** 7, 2000, which is the 35 U.S.C. 102(e) Date, as can be seen on the attached copy of the Notification of Acceptance and confirmed by the "date in" on the attached copy of the Express Mail Certificate. A copy of the originally filed Request for Corrected Filing Receipt as well as supporting documentation are attached hereto.

The Applicant respectfully requests that a corrected filing receipt be issued, in due course, which contains the correct filing date for this case. The Applicant is

#### 09/463,423

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awaiting this corrected Filing Receipt to forward the same to the Assignment Services

Division so that the filing date on the Notice of Recordation can be corrected as well.

If the Patent and Trademark Office has any questions concerning the above, please contact the undersigned.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account RECEIVE No. 04-0213).

Respectfully submitted,

SEP 2 0 2001

TC 1700

Michael J. Bujold, Reg. No. 32,018

Customer No. 020210

Davis and Bujold Fourth Floor

500 North Commercial Street RECEIVED

Manchester NH 03101

Telephone 603-624-9220

OCT 3 1 2001

Facsimile 603-624-9229

E-mail: patent@davisandbujold.com 1700

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on June 26, 2001.

Print Name:\_

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In re Appln Jauw KHOE, Myint ZAW, Patricia Salini

PRASAD and Maree Therese EMETT

Serila no.

: 09/463,423

Filed For with an effective filing date of Jully 22, 1998
 PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN

AQUEOUS SOLUTIONS

Group Art Unit:

1744

Examiner

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Docket

GRIHAC P28AUS

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l page request for corrected filing receipt,
l page copy of filing receipt, l page copy of

Notice of Acceptance.

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PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ging Hauw KHOE, Myint ZAW, Patricia Salini

PRASAD and Maree Therese EMETT

Serial no.

09/463,423

Filed For with an effective filing date July 22, 1998

PHOTO-ASSISTED OXIDATION OF

INORGANIC SPECIES IN AQUEOUS

**SOLUTIONS** 

**Group Art Unit** 

Examiner

1744

Docket

**GRIHAC P28AUS** 

The Commissioner of Patents and Trademarks Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Attached is a copy of the filing receipt issued in the above-identified application. The filing receipt is incorrect in that it states the filing date as March 7, 2000 when the actual date is April 7, 2000. A copy of the relevant pages of the originally filed application, which contains the correct information, is attached hereto.

The Applicant respectfully requests that a corrected filing receipt be issued which contains complete and accurate information concerning the filing particulars of this case. If the Patent and Trademark Office has any questions concerning the above, please contest the undersigned.

In the event that there are any fee deficiencies or additional fees are payable, prease charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

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TC 1700

Respectfully submitted,

Michael J. Bujold, Reg No. 32,018

Customer No. 020210

Davis and Bujold

Fourth Floor

500 North Commercial Street

Manchester NH 03101

Telephone 603-624-9220

Facsimile 603-624-9229

E-mail: patent@davisandbujold.com

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 8, 2000.

Print Name:

Michael J.





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# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

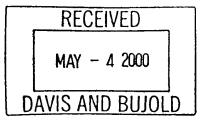
Address: ASSISTANT SECRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY,DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
09/463,423	03/07/2000	1744	1100	GRIHACP28A	10 ل	1	1	

DAVIS & BUJOLD 500 NORTH COMMERCIAL STREET FOURTH FLOOR MANCHESTER, NH 03101



Date Mailed: 04/28/20001 SEP

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

GING HAUW KHOE, CRONULLA, AUSTRALIA; MYINT ZAW, LIDCOMBE, AUSTRALIA; PATRICIA SALINI PRASAD, GUILDFORD, AUSTRALIA; MAREE THERESE EMETT, PENNANT HILLS, AUSTRALIA;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/AU98/00576 07/22/1998

Foreign Applications

AUSTRALIA PO 8196 07/23/1997

If Required, Foreign Filing License Granted 04/26/2000

Title

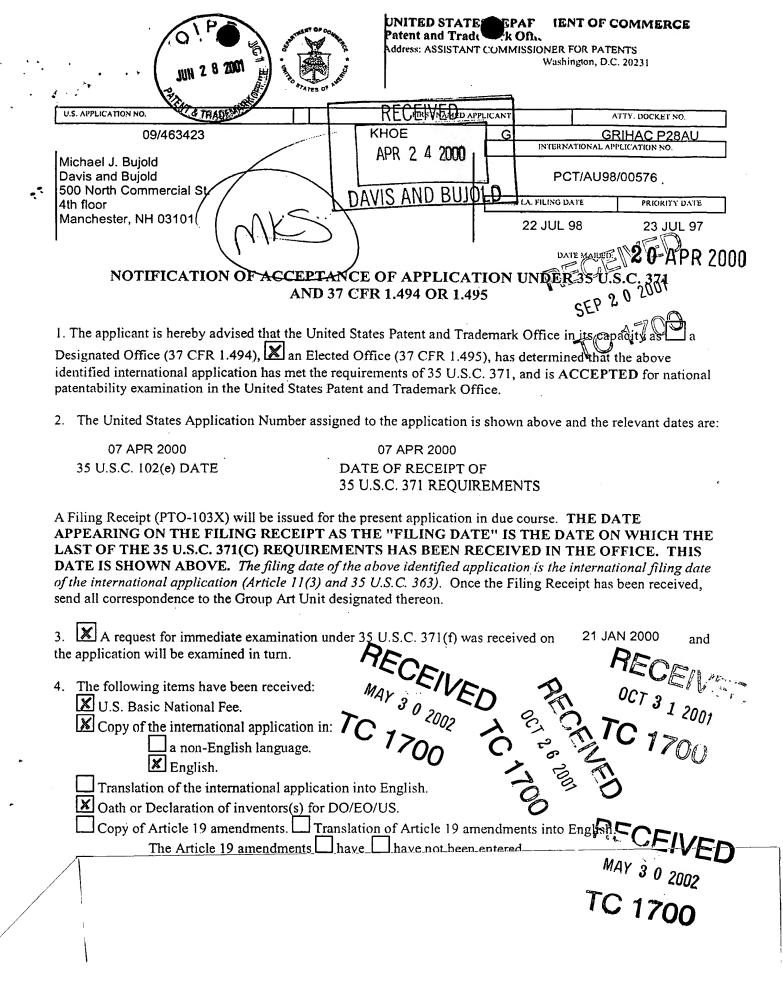
PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS

**Preliminary Class** 

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¿tac: 04/07/00

In re Appln. of:

Filed Serial no.

GRIHAC P28AUS

and power of attorney, check \$130. notice of missing parts, 3 pages declaration to notice of missing parts, 1 page copy of l page express mail certificate, l page resp. of: Ging Hauw KHOE, Myint ZAW, Particle Salami PRASAD and Maree Therese EMETT CO 19/463,423

with an effective filing date of July 22 (1998) PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS SOLUTIONS

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One Hundred Thirty and 00/100\*\*\*\*\*\*\*\*\*\*\*\*\*\* COMMISSIONER OF PATENTS WASHINGTON D.C. 20231 COMMISSIONER OF PATENTS

GRIHACP28AUS MISS PARTS 09/463423

MEMO



Practitioner's Docket No.:

#### PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Ging Hauw KHOE, Myint ZAW, Patricia Salini PRASAD and

Maree Therese EMETT

Serial No.: 09/463,423

Group No.:

Filed: with an effective filing date July 22, 1998

Examiner:

For:

PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS

SOLUTIONS

**Assistant Commissioner for Patents** Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE** 

"Express Mail" label number EE248837323US

Date of Deposit: April 7, 2000

I hereby state that the following attached paper or fee:

1 page response to notice of missing parts, 1 page copy of notice of missing parts, 3 pages declaration and power of attorney form, check \$130, 1 return postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.



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PATENT APPLICATION

SEP 20 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ging Hauw KHOE, Myint ZAW, Patricia Salini

PRASAD and Maree Therese EMETT

Serial no.

09/463,423

Filed For

with an effective filing date July 22, 1998

OXIDATION PHOTO-ASSISTED INORGANIC SPECIES- CIN-

SOLUTIONS

**Group Art Unit** 

Examiner

Docket

**GRIHAC P28AUS** 

The Commissioner of Patents and Trademarks Washington, D.C. 20231

#### RESPONSE TO NOTICE OF MISSING REQUIREMENTS **UNDER 35 U.S.C. 371**

In response to the Notice of Missing Requirements under 35 U.S.C. 371 mailed March 7, 2000, a copy of the Notice is being returned to the United States Patent Office, as requested, along with a signed Declaration and Power of Attorney form. Also attached, please find our firm's check in the amount of \$130 which covers the surcharge on the large entity basis.

If anything further is required before a filing receipt can be issued for this please immediately contact the undersigned attorney.

In the event that there are any fee deficiencies or additional fees are paya ble, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully subthitted.

Michael J. Bujold, Reg. No. 32,018

Customer No. 020210 Davis and Bujold

Fourth Floor

500 North Commercial Street

Manchester NH 03101 Telephone 603-624-9220

Facsimile 603-624-9229

E-mail: patent@davisandbujold.co

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09/463423	Patent and Trademark Office  Address: ASSISTAN Box PCT Washington, D.C, 20231
U.S. APPLICATION NO.	FIRST NAMED APPLICANT ATTY DOCKET NO
· 09/463,423 (JUN 2 8 ZOO)	G GRIHAC P28 INTERNATIONAL APPLICATION NO
Michael J. Bujold TRADE MAN	5071 PCT/AU98/00576
Davis and Bujold 500 North Commercial St.	I A. FILING DATE PRIORITY DATE
4th floor Manchester NH 03101	07/22/98 07/23/9
NOTIFICATION OF MISSING REQUIRED	/ 03/07/00 MENTS UNDER 35 U.S.C. 371 IN THE UNITED
The following items have been submitted by the applications.	LECTED OFFICE (DO/EO/US) cant or the JB to the United States Patent and Trademark
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Copy of Article 19 amendments.	DAVIS AND BUILD
Translation of Article 19 amendments into English	
The International Preliminary Examination Report	in English and its Annexes, if any.
Translation of Annexes to the International Prelim	inary Examination Report into English.
Preliminary amendment(s) filed 21 Jan 2 Information Disclosure Statement(s) filed 21 Jan	200 Cand
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Power of Attorney and/or Change of Address.	
Substitute specification filed	
Statement Claiming Small Entity Status.	
Priority Document.	
Copy of the International Search Report and co	opies of the references cited therein.
Other:	
cceptance under 35 U.S.C. 371:	eriod set forth below in order to complete the requirements for
a. Translation of the application into English. No	te a processing for will be assuing the
later than the appropriate 20 or 30 months from	the priority date
☐ The current translation is defective for th	e reasons indicated on the attached Notice of Defective
Translation.	OC > CIL
b. Processing fee for providing the translation of the	the application and/or the Annexes later that the late (37 CFR 1.492(f)).  The application and (b), identifying the application and (c) and (b), identifying the application and (c) and (d), identifying the application and (c) and (d), identifying the application and (d).
appropriate 20 or 30 months from the priority d	late (37 CFR 1.492(f)).
by the International application number and inte	nce with 37 CFR 1.497(a) and (b), identifying the application
by the intermetorial application number and file	comply with 37 CFR 1.497(a) and (b) for the reasons indicated
d. Surcharge for providing the oath or declaration priority date (37 CFR 1.492(e)).	later that the appropriate 20 or 30 months from the
. Additional claim fees of \$ as a large ependent claim fee, are required. Applicant must submit thich fees are due (37 CFR 1.492(g)). See attached PTO	entity small entity, including any required multiple the additional claim fees or cancel the additional claims for -875.
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**GRIHAC P28AUS** 

#### TION AND P OWER OF ATTORNEY COMBINED DECL

(Original, Design, National Stage of PCT, Supplemental)

As a below named inventor, I hereby declare that:

#### TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

□ original
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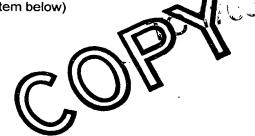
design

supplemental

National Stage of PCT divisional (see added page)

continuation (see added page) 

continuation-in-part (see added page)  $\Box$ 



#### INVENTORSHIP IDENTIFICATION

My/our residence, post office address and citizenship is/are as stated below next to my/our name. I/We believe that the named inventor or inventors listed below is/are the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### **TITLE OF INVENTION**

	<u>РНОТО</u>	-ASSIST	ED OXIDATION OF INORGANIC SPECIES IN AQUEOUS SOLUTIONS
			SPECIFICATION IDENTIFICATION  MAY 3 0 2002
The sp	ecification	on of whi	ch: (complete (a), (b) or (c))
	(a)		is attached hereto.
	(b)		was filed on with an effective filing date July 22, 1998 as
			Serial No or
			Express Mail No as Serial No. (not yet known) and was
			amended on(if applicable).
•	(c)		was described and claimed in PCT International Application No.
			PCT/AU98/00576 filed on 22 July 1998 and as amended
			under PCT Article 19 on (if any).
			POWER OF ATTORNEY
			FOWER OF ATTORNET

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name(s) and registration number(s))

Anthony G. M. Davis

Registration No. 27,868

Michael J. Bujold Scott A. Daniels

Registration No. 32,018 Registration No. 42,462

Attached as part of this Declaration and Power of Attorney is the authorization of the named attorney(s) to accept and follow instructions from my representative(s).

Send Correspondence to:

Direct Telephone Calls f

(603) 624-9220

Direct Telefaxes to:

(603) 624-9229



I/We hereby state that I/we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose to the United States Patent Office all information which is known to be material to patentability of this application as defined in § 1.56 of Title 37 of the Ogde of Federal Regulations.

#### PRIORITY CLAIM

I/We hereby claim foreign priority benefits under Title 35. United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me/us on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

COUNTRY	APPLICATION NO.	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
Australia	PO 8196	23 July 1997	■YES □NO
		•	UYES ONO
			UYES DNO
			□YES □NO
			□YES □NO

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ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION								
			<del></del>		. ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~			
□ I/We hereby claim application(s) listed below.	n the benefit, under	35 U.S.C. 119(e), o	f any United	States p	rovisional			
Application Number	r(s) Filing Da	ate (MM/DD/YY)	□ Addi application n on a supple- data sheet P attached her	mental prio TO/SB/021	e listed			
		LARATION			C 120 20			
I/We hereby declar all statements made on info were made with the knowled or imprisonment, or both, to false statements may jeop	edge that willful false : under Section 1001 of	e believed to be true; statements and the lik Title 18 of the United	and further to e so made a States Code	nat these s re punishal , and that s	tatements • ble by fine			
Full name of first joint inventor's signature:  Residence: 125 Nichole	C. Khoe	Dat	-	100				
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nventor's signature: Myint ZAW  ARCH 2000  MYINT ZAW  Date: 275 MARCH 2000
nventor's signature:
Residenœ: 41 Delhi Street, Lidcombe NSW 2141 Australia
Post Office Address: Same as above Country of Citizenship: Australia
Full name of third joint inventor. Patricia Salini PRASAD
Inventor's signature: Date: 28 44 March 2000
and the Poort Cuildford NSW 2161 Australia
Post Office Address: Same as above Country of Citizenship: Australia 1 USEP 2 0 2001
SEL 2
Full name of fourth joint inventor. Maree Therese EMETT  Inventor's signature: Memett Date: 28+L Missch 2000  Residence: 17 Stevens Street, Pennant Hills NSW 2120 Australia
Inventor's signature: In Emett Date: 28+4 March 1200
Residence: 17 Stevens Street, Pennant Hills NSW 2120 Australia
Post Office Address: Same as above Country of Citizenship: Australia

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## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I WWW.uspto.gov

**CONFIRMATION NO. 6300** 

SERIAL NUMÈ 09/463,423	1 04/07/2000 1			GRC	OUP AR 1744	TUNIT	[	ATTORNEY OOCKET NO. RIHACP28AU	
APPLICANTS									
GING HAUW KHOE, CRONULLA, AUSTRALIA; MYINT ZAW, LIDCOMBE, AUSTRALIA; PATRICIA SALINI PRASAD, GUILDFORD, AUSTRALIA; MAREE THERESE EMETT, PENNANT HILLS, AUSTRALIA; THIS APPLICATION IS A 371 OF PCT/AU98/00576 07/22/1998  ** FOREIGN APPLICATIONS ************************************									
Foreign Priority claimed  35 USC 119 (a-d) conditions									
ADDRESS					•	2	<u> </u>		
Acknowledged Examiner's Signature Initials  ADDRESS  DAVIS & BUJOLD  500 NORTH COMMERCIAL STREET  FOURTH FLOOR  MANCHESTER ,NH 03101									
TITLE						0			
PHOTO-ASSISTED OXIDATION OF INORGANIC SPECIES IN AQUEOUS SOLUTIONS									
				1	•	□ All	Fees		
						1.16 Fees ( Filing )			
RECEIVED	FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT				NT	1.17 Fees ( Processing Ext. of time )			
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